### The Darwin Initiative



# FAQs & Common Issues: the 'easy wins'















# Administrative Eligibility



- Word counts are strictly enforced in Flexi-Grant
- Supporting docs including:
  - Cover letter outlining how you have responded to feedback from St1
  - Letters of support including applicant organisation in one pdf document (partners, govt, stakeholders...)
  - Last 2 sets of signed/audited accounts in English and currency clear
  - Budget table (matches request and certification in application)
  - Past experience and awards (if new to IWT as a lead) including contacts for references
  - CVs for key personnel: partners and project teams in one pdf document

# Meeting Darwin objectives



- Outlined in full in guidance
- Which convention & why
  - Be specific about which objectives you will be contributing towards
  - CITES is only relevant for specific trade projects and not just because you are working on a CITES listed species
  - Can you demonstrate communication with the Convention focal point – perhaps by letter?

### **Finances**



- Do the figures on the application and spreadsheet match?
- Large % matched funding unsecured risky
- It is good to see a significant % of funds going directly to host country partners/costs but no specified amount
- PL normally at least 10% of their time, if not clearly explain why
- % of funds on M&E (between 5% and 10%)
- Capital costs normally <10% otherwise clearly justify in text</li>
- 'Consultancy costs' and 'Other' provide adequate detail
- Make sure you only include audit costs for the lead organisation and only in the last FY – up to £2,000
- Refer to Finance for Darwin&IWT document
  - Allow for exchange rates to fluctuation but no 'contingency'

### Attention to Risk - Financial



Ensure you fully consider the risks and threats to your project including

- Fraud
- Bribery
- Misappropriate of funds e.g. ineligible allowances, arithmetical errors, lack of reconciliation of funds
- Exchange rate fluctuations
- Recruitment delays leading to shift in timescales and funds being approved for the wrong financial year

These are different to the Assumptions in the logframe which may also include

- Staff retention and reliance on key people
- Natural disasters e.g. weather, disease, physical
- Change of government/partner personnel

# Project team expertise



- Include CVs or ToRs of team members critical to delivery
- Ensure skills presented match all the work proposed
- Tailor CVs to ensure skills are clear and avoid long lists of publications
- Relate CVs presented to budget table i.e. roles and names
- Avoid submitting teams with too many 'TBC' posts

# Monitoring and evaluation



#### Common problems that could be avoided

- Setting a target when there is no baseline
- "with the exception of one outcome level income indicator, the logframe is devoid of targets. With no indication of project scope or targets, it is not possible to assess sustainability of the proposal"
- Including untested assumptions that are critical
- "It would be good to have an assurance that producers are willing to work with the project, rather than this appearing as an Assumption"
- Not being clear who will undertake M&E or when

# Monitoring and evaluation



#### Common problems that could be avoided

- Failure to provide outcome indicators for both biodiversity and poverty
- Measure progress throughout, not just the final few months

"Outputs 1-4 don't have any interim milestones (i.e. start and end line only) and so tracking progress will be difficult"

 Does not demonstrate how you will measure what has changed i.e. not SMART

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## Avoiding common issues on Flexi-Grant















# Flexi-Grant FAQs



#### I have completed by application, but I can't see the "submit" button.

If you are not the lead applicant, you will not be able to submit the application. Ensure the lead applicant has access near the submission deadline so that they can submit the application. Remove co-applicants if they haven't marked inputs as complete so that you can submit.

#### I am not the lead applicant but would like to be – how do I do this?

The person who started the application or who is currently the lead applicant needs to make you the lead applicant — only the current lead applicant and LTS administrators have the power to change the lead applicant.

# I use Flexi-Grant for other grant schemes and they require another stage of sign off – do you have this stage?

No, we have not configured an additional sign off stage for our application forms. We do ask that applicants complete the certification page but we leave it up to you to manage any other necessary sign-off stages internally.

For all of the above, if you continue to have problems, please get in touch.

# Matched-Funding



#### Q5. Project dates Duration (e.g. 2 years, 3 Start date: End date: months): dd/mm/yyyy dd/mm/yyyy Q6. Budget summary 2021/22 2020/21 2022/23 Total request £0.00 Amount Q6a. Do you have matched funding arrangements? Yes O No What matched funding arrangements are proposed? Q6b. Proposed (confirmed and unconfirmed) matched funding as % of total project cost (total cost is the Darwin request plus other funding required to run the project).

Ensure dates are eligible for funding under this round

Budget figures here should match full budget spreadsheet

For matched funding, the % should be of the **total** project cost (not compared to the Darwin request)

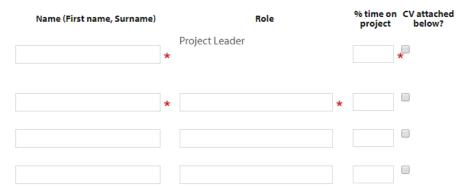
### Staff names



#### Q10. Key project personnel

Please identify the core staff on this project, their role and what % of their time they will be working on the project.

Please provide 1 page CVs for these staff, or a 1 page job description or Terms of Reference for roles yet to be filled. Please include more rows where necessary. These should match the names and roles in the budget spreadsheet.



Make sure that the staff names here match the names in the budget

This is for project staff key for delivery – no need to list **all** staff

Do you require more fields?

- Yes
- No

Please provide 1 page CVs (or job description if yet to be recruited) for the Project staff listed above. Ensure the file is named clearly, consistent with the named individual and role above.

Choose your file(s)	or drag and drop files here to upload	
File name	Date uploaded	Action

For anyone named here:

- 1 page CV must be provided
- If funded, permission needed to change

#### Conventions



#### Q12. Biodiversity Conventions, Treaties and Agreements

Q12a. Your project must support the objectives of one or more of the agreements listed below.

Please indicate which agreement(s) will be supported and describe which objectives your project will address and how.

N.B: projects supporting more than one will not achieve a higher score.

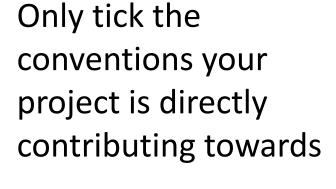
Convention on Biological Diversity (CBD)
Nagoya Protocol on Access and Benefit Sharing (ABS)
International Treaty on Plant Genetic Resources for Food and Agriculture (ITPGRF) and Agriculture (ITPGRF) and ITPGRF) and ITPGRF and ITPGR
Convention on International Trade in Endangered Species (CITES)
Convention on the Conservation of Migratory Species of Wild Animals (CMS)
Ramsar Convention on Wetlands (Ramsar)
Convention on Climate Change (CCC)

#### Q12b. Biodiversity Conventions

Global Goals for Sustainable Development (SDGs)

Please detail how your project will contribute to the objectives of the agreement(s) your project is targeting. You should refer to Articles or Programmes of work here.

N.B: No additional significance will be ascribed for projects that report contributions to more than one agreement.



Don't just list relevant agreements – sign-post **how** your project is contributing towards these

### Additional materials



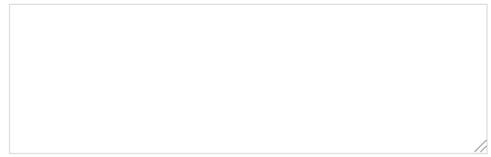
#### Q13. Methodology

Describe the methods and approach you will use to achieve your intended Outcome and Impact. Provide information on:

- How you have analysed historical and existing initiatives and are building on or taking work already done into account in project design. Please cite evidence where appropriate.
- The rationale for carrying out this work and a justification of your proposed methodology.
- How you will undertake the work (materials and methods).
- How you will manage the work (roles and responsibilities, project management tools, etc.).

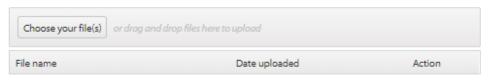
Please make sure you read the Guidance Notes before answering this question.

(This may be a repeat from Stage 1, but you may update or refine as necessary)



You have entered 0 words (500 words max)

If necessary, please provide supporting documentation e.g. maps, diagrams, references etc., as a PDF using the File Upload below:



Methodology should be clear and link to logframe

Additional links/maps/ToC (for example) can be included as **one pdf** – but be reasonable!

They must not be used as a means of providing additional information or avoiding word limits!

# Gender and sustainability



#### Q15. Gender

inequality between persons of different gender. Explain how your project will collect good disaggregated data and what impact your project will have in promoting gender equal	
You have entered 0 words (300 words max)	/i
O10 Fuit Streets and	
Q19. Exit Strategy	
State whether or not the project will reach a stable and sustainable end point. If the project discrete, but is part of a progressive approach, give details of the exit strategy and show relevant activities will be continued to secure the benefits from the project. Where individuals receive advanced training, for example, what will happen should that individ leave?	now
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More on **gender** later – but make this specific to your project, not your organisation!

Sustainability is important. Short term exit strategy could include additional funding, but also consider longer term sustainability if so.

You have entered 0 words (200 words max)

### Other common issues



- Partnerships take time new partnerships vs old and time taken to establish working relationships
- Avoid 'template' letters of support
- Don't underestimate how long it may take at project start up to finalise agreements, visas, staff recruitment etc.
- Attribution vs contribution and measuring change "high level of matched funding means attribution of achievements to DI funding will be challenging"
- Consider how project results will be taken up (policy and research projects common offenders!). Communication strategies should be clear and consider who the audience is, how they will use the results, when they will be engaged etc.

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### Your questions!













